UN HQ Planning Process
Peace Operations

Presentation based on material from UN DPKO Manual
PLANNING PROCESS FOR MILITARY OPERATIONS
PRINCIPLE PLANNING PRODUCTS

- Strategic Estimate
- Operational Estimate
- Concept of Operations
8 PHASES
LAUNCHING UN MISSION

• Pre-Initiation
• Initiation
• Strategic Planning
• Initial Operational Planning
• Concept Development
• Plan Development
• Deployment
• Execution
PHASE 1
PRE-INITIATION

- Department of Political Affairs Monitors
- Information gathering
- Hotspot – OO develops *Awareness of Situation*
- Hotter – OO develops *Knowledge of Situation*
- Hotter Still
  - *Formal direction DPKO over-watch*
  - *Develops Understanding of Situation*
  - *DPKO ready to give military/police advice during peace process*
PHASE 2
INITIATION

• MILAD initiates when high likelihood military involvement

• Necessary conditions for peace present or soon to be present

• Integrated Mission Task Force (IMTF) formed

• Chief MPS seeks strategic guidance / staff generate appropriate questions (intent/objectives/tasks/restraints/constraints/end-states)
INITIATION PHASE
(continued)

- **Structured approach gathering required information** *(Annex B)*
  - Geography
  - Infrastructure
  - Economic Situation
  - Demographics
  - Humanitarian Situation
  - Conflict
  - Political Situation
  - Armed Forces/Groups
INITIATION PHASE (continued)

- Define UN Interests/Objectives (political/military/humanitarian/institutional/administrative)
- Identify Criteria, Factors, Limitations
- Assumptions – clarification sought
- Military advice/support peace process (DPA lead)
PHASE 3
STRATEGIC PLANNING PHASE
“Role of UN”

• Strategic Estimate
  - Range of Possible Scenarios
  - Range of Options for each scenario
    - Recommended Option to Pursue

• Regional Dynamics? – Regional Approach

• Further planning required?
• More than One Scenario - Prioritize (Full or Contingency Planning)

• Operational Estimate
  Most Likely Scenario/Option
  
  • Done in Stages –
  Regular Report & Review
INITIAL OPERATIONAL PLANNING PHASE
“Operational Estimate”
(continued)

- Mission Analysis
- Development Mission Statement (for MILAD approval)
- Threat Analysis (Capability X Intent)
- Risk Analysis (Threat – UN Capability) (Annex D Part 3)
- Develop Courses of Action (COAs)
  - Confirm Political Acceptability
  - Check Force Availability (UNSAS & TCNs provide/pay)
  - Logistic/Budget Constraints
- COA Decision Brief to MILAD
PHASE 5
CONCEPT DEVELOPMENT

- Develop approved COA to full CONOPS
  - Parallel Logistics Planning
  - Initial informal contact TCNs
- **Initial Survey** (Political Lead)
- **Forces to Task Analysis** – support requirements
- **Technical Survey** (not separate event - process)
- Refine CONOPS
- **Mission Factors** - COE Reimbursement Rate
- Informal Meeting TCNs
CONCEPT DEVELOPMENT PHASE (continued)

- CONOPS Approval
- Input to Administrative Support Division for Budget Planning
- SC Meeting TCNs
- OO Prepare SG Report
- CONOPS Approval

*Draft Security Council Resolution Prepared (One Security Council Nation leads)*

- Following Consultation “In Blue” Security Council Resolution prepared
- Security Council Resolution Approval
PHASE 6

PLAN DEVELOPMENT PHASE

- **CONOPS Refinement** - New Security Council direction/tasks
- “Political” Clearance of TCNs - FGS with OO
- **Review Tasks/Operational Requirements** - Finalize Force Structure & Equipment
Plan Development Phase

6 Parallel Development Streams

- Plans
- Senior Appointments
  *Political Process – Office SG approval - “UN Positions”*
- Mission Documentation
  - MPS - Force Comd's Directive/ROE/TCN Guidelines
- Force Generation – *Notes Verbale*
- SOFA/SOMA by OLA
- Budget
- Support – *Integrated Mission Support Structure*
Plan Development Phase

- COE/MOU Negotiation
- **Develop Deployment Schedule** - 6-8 weeks to contract ship + 6 weeks before sailing
- Pre-Deployment Facilitation visit UN to TCN
- Budget Refinement
- Finalize Logistic Support Plan
- Review Force Availability – Adjust Plan?
- Force Generation Service leads MPS - Branches & Sequels
Phase 7- Deployment Phase

- Force Commander & Senior Staff briefed prior to deployment
- With deployment Current Operations Service takes lead role
PHASE 8
EXECUTION PHASE

• Major review/revision CONOPs
  • End Phase
• Termination mission
• Long Term Branch/Sequel
• Rotation Management
• Strategic Operational Plans
• Budget ACABQ & 5th Committee
• Mission Liquidation
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UN PLANNING PROCESS

QUESTIONS?